

04-19-00

Express Mail Label No. • EM34259308

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
LIT3-BI13

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

INTEGRATED OPTICS ENCRYPTION DEVICE

and invented by:

Michael L. Bean
Lawrence E. Bean
George A. Pavlath
Eric Lee Goldnerjc530 U.S. PTO
09/551587
04/18/00If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 13 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
LIT3-BI13

Total Pages in this Submission

Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal Number of Sheets 2
- b. ☐ Informal Number of Sheets _____
4. ☐ Oath or Declaration
- a. ☐ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EM342593095US

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
LIT3-BI13

Total Pages in this Submission

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

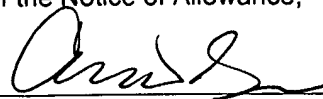
16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	26	- 20 =	6	x \$18.00	\$108.00
Indep. Claims	6	- 3 =	3	x \$78.00	\$234.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,032.00

- ☒ A check in the amount of \$1,032.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 16-2462 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

Albin H. Gess
Registration No. 25,726
PRICE, GESS & UBELL
2100 S.E. Main Street, Suite 250
Irvine, California 92614
Tel: 949-261-8433

Dated: April 18, 2000

CC:

JOSEPH W. PRICE
ALBIN H. GESS
FRANKLIN D. UBELL
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE

PRICE, GESS & UBELL
ATTORNEYS AT LAW

2100 S.E. MAIN STREET, SUITE 250
IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726
e-mail: pgu@pgulaw.com

SPECIFICATION, CLAIMS and ABSTRACT

Inventor(s): Michael L. Bean, Lawrence E. Bean, George A. Pavlath,
Eric Lee Goldner

Title: **INTEGRATED OPTICS ENCRYPTION DEVICE**

Attorney Docket No. LIT3-BI13

EXPRESS MAIL LABEL NO. EM342593095US

DATE OF DEPOSIT: April 18, 2000

JOSEPH W. PRICE
ALBIN H. GESS
FRANKLIN D. UBELL
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE

PRICE, GESS & UBELL

ATTORNEYS AT LAW

2100 S.E. MAIN STREET, SUITE 250

IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION

TELEPHONE: (949) 261-8433

FACSIMILE: (949) 261-9072

FACSIMILE: (949) 261-1726

e-mail: pgu@pgulaw.com

TWO (2) SHEETS OF DRAWINGS

Inventor(s): Michael L. Bean, Lawrence E. Bean, George A. Pavlath,
Eric Lee Goldner

Title: INTEGRATED OPTICS ENCRYPTION DEVICE

Attorney Docket No. LIT3-BI13

EXPRESS MAIL LABEL NO. EM342593095US

DATE OF DEPOSIT: April 18, 2000